COTECNA

Feed Safety System Certification

GMP+ FSA

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Change history

Version	Author	Description
Current - Issue -1 dated 1st AUG 2018	Anoorag Pratap Das	Initial Issue

1 GMP+ FSA

1.1 Introduction

The GMP+ Feed Certification scheme was initiated and developed in 1992 by the Dutch feed industry in response to various more or less serious incidents involving contamination in feed materials. Although it started as a national scheme, it has developed to become an international scheme that is managed by GMP+ International in collaboration with various international stakeholders.

GMP+ Feed Safety Assurance is a complete module with standards for the assurance of feed safety in all the links of the feed chain. Demonstrable assurance of feed safety is a 'license to sell' in many countries and markets and participation in the GMP+ FSA module can facilitate this excellently. Based on needs in practice, multiple components have been integrated into the GMP+ FSA standards, such as requirements for a feed safety management system, for application of HACCP principles, for traceability, monitoring, prerequisites programmes, chain approach and the Early Warning System.

1.2 Benefits

1. A GMP+ certificate is considered to be a license to sell in more and more countries, meaning that being granted GMP+ certificate, the certified company get involved in new sales opportunities.
2. A GMP+ certificate contributes to the continuity of operations; the organization becomes more efficient to design and financial risks and cost involved in mistakes are reduced.
3. The focus on quality management (ISO 9001) and risk control (HACCP) provides a significant improvement in the overall quality policy.
4. The certified company becomes a part of the global operating feed assurance system (the GMP+ certificate is globally accepted by over 80 countries);
5. The certified company can get access to a lot of knowledge and information and various certificates can be combined, both within GMP+ and with other safety schemes.
6. GMP+ certification provides conformity evidence that the product is safe for use in the feed industry;

1.3 Why Cotecna

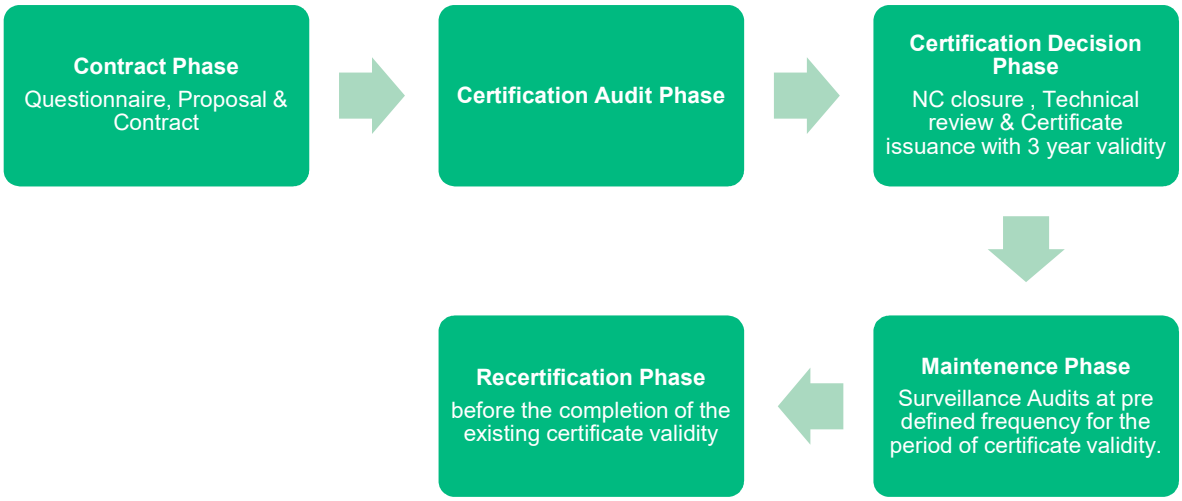
Swiss group founded in 1974. Extended network of over 100 offices & laboratories in 50 countries. The Group offers the same exacting standards in executing services to both governmental and commercial organizations. Cotecna is one of the world's leading testing, inspection and certification companies. Cotecna Inspection India Pvt Ltd has experienced experts on food & feed safety aspects and expereince auditor with multiple competency to provide you oneumbrella solution. COTECNA India can help you as below

- Provide comprehensive training on all aspects of Food & Feed Safety Standard
- Perform gap-analysis, to help you understand your best route to certification
- Conduct the entire certification process to ensure compliance with food & feed safety standards

1.4 Important Links

https://www.gmpplus.org/en/certification-scheme/gmpplus-fsa-certification/
https://www.gmpplus.org/en/certification-scheme/gmpplus-fsa-certification/b-documents/

1.5 Certification Process- GMP+ FSA



1.6 Application Process

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1.7 Audit

Opening meeting – Evaluation of the documentation – Site assessment and interviews of employees – Creation of the audit conclusions, Closing meeting

The initial certification audit happens onsite and is a single stage only.

Objective of the onsite audit is done to verify that the system has been designed and developed in accordance with the organization's top management commitment to conform with Scheme requirements. The audit substantiates top management's claim by implementation of the feed safety management system. The activities subject to the proposed certification scopes shall be assessed during the initial certification audit. Follow-up audit (i.e. minimum annual surveillance) is done during the certification period to verify maintenance and continuous improvement will be conducted.

1.8 Non-Conformity

Minor nonconformity - Any nonconformity which does not adversely affect the health or safety of a product.

1) This relates to a nonconformity where there is no direct risk for feed safety for the subsequent links in the chain.
2) An element previously described as per the GMP+ FSA guidelines is not updated, while this is required as a consequence of amended requirements and regulations.
3) Quality records have been overlooked or are out of date (< 2 months), clearly of an incidental nature (not related to EWS or traceability).
4) A requirement of the normative document is in-completely described in the documentation.

Major nonconformity - Any nonconformity other than critical, which may result in failure for health or safety and which cannot be completely eliminated by re-work or reduced to a minor nonconformity.
When a requirement of the GMP+ normative document has been addressed but there is in-sufficient evidence to demonstrate that it has been properly controlled or implemented.

1) A minor nonconformity was also observed during the previous audit and inadequate or no corrective actions has taken place.
2) In case COTECNA is unable to close a minor nonconformity within the deadline as agreed with the participant.
3) A requirement of the normative document is absent in the documentation.
4) Quality records are structural very out of date (> 2 months), (not related to EWS or traceability).
5) A requirement of the normative document is not being implemented and this can have an effect on the feed safety of the product.

Critical nonconformity - Any nonconformity which may result in hazardous or unsafe for individuals and animals.
A regulatory violation or a complete feed safety failure to implement a requirement of the GMP+ normative document.

1) There has been a previous major nonconformity but insufficient or late corrective actions have been implemented.
2) COTECNA is unable to close major nonconformity within the deadline as agreed with the participant.
3) A serious nonconformity, incidental, with a direct or possible hazard to the safety of humans, animals or the environment and direct consequences for the subsequent links in the chain.
4) The participant did not send an EWS report to COTECNA and competent authority (if applicable) and GMP+ International within the time frame as determine in the GMP+ BA5.
5) The participant refuses and/or does not cooperate in (planning/conducting) audits for (stricter) super-vision by COTECNA.
6) For the period of time when a participant is under impending prosecution related to feed safety.
7) It is reasonable to assume that there is case of gross negligence, fraudulent actions or economic malpractice related to feed safety.
8) The participant does not respect the criteria as defined in the Agreement with COTECNA.
9) The participant refuses and/or does not cooperate in (planning/conducting) compliance assessment of GMP+ International.
10) Customers involved in a EWS are not demonstrably informed within the time frames established in the specific GMP+ B standards.
11) Structural nonconformity related to critical GMP+ requirements. This relates in any event to:

a) incorrect cleaning and disinfections, loading sequence, with a forbidden pre-load, for GMP+ transport,
b) no risk assessment for a feed material,
c) purchasing feed products and services not in accordance with the purchase requirements,
d) intended, on purpose or regularly practice non-compliance with specific feed safety limits.

12) Within a time frame of two year after the same first offence the participant did not send an EWS to the COTECNA and competent authority (if applicable) and GMP+ International within the time frame as determine in GMP+ BA5.
13) Customers involved in an EWS are not demonstrably informed.
14) A requirement of the normative document is not being implemented and is critical for the feed safety of the product.
15) Quality records related to EWS, traceability and tracking and trace are not implemented.
16) Previously observed critical nonconformities are not properly fixed after a 3 months suspension of the GMP+ certificate or the temporary acceptance has not been properly released or other such non-conformities are established.
17) Delivery of products from non- GMP+ (or equivalent) certified source(s), under the implicit or explicit suggestion that has been produced in compliance with the GMP+ requirements.
18) The participant does not respect the financial criteria as defined in the Agreement with COTECNA

1.9 Transfer of Certification

Transfer of certification is done when the certification being transferred is in good standing, the certificate is valid and will be valid at the time of audit, all previous audits have been conducted at the appropriate intervals, there are no outstanding Major CARs and the scope remains the same. Copies of these documents shall be submitted with the proposal acceptance for further review and process

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